

Attorney Docket No.: 655-012c

Patent

\$ SECTOR  
##

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Baker et al.

Serial No.: 09/777,003

Filed: February 5, 2001

For: AN INTEGRATED MULTIMEDIA SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

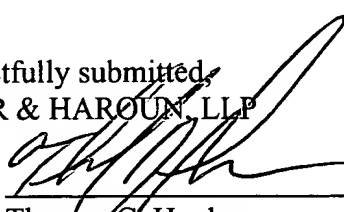
S I R:

Enclosed with this Response to Notice to File Missing Parts please find a check in the amount of \$880.00 (for the filing fee, surcharge and assignment) along with a copy of the Assignment that was filed in the parent application, an Assignment Recordation Sheet and ten Declarations signed by fourteen of the inventors. Regarding the fifteenth co-inventor, enclosed please find a copy of the granted petition that presents that the co-inventor Vinay Naik had been given an opportunity but has refused to join in the filing of the above-identified application.

Any additional fees required in connection with this application may be charged to Deposit Account No. 19-2825.

Respectfully submitted,  
SOFER & HAROUN, LLP

By:

  
Thomas C. Hughes  
Reg. No. 42,674  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
(212) 697-2800

Dated: May 3, 2001

0 PATENT



Docket No. 655-012c

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : David Baker et al.  
Serial No. : 09/777,003  
Filed : February 5, 2001 Group Art Unit: 2182  
For : AN INTEGRATED MULTIMEDIA SYSTEM

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

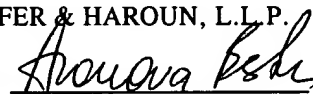
Sir:

I hereby certify that the attached Response to Notice to File Missing Parts, Notice to File Missing Parts, Fourteen signed Declarations, copy of Granted Petition, Assignment, Assignment Recordation Sheet, Check for \$880.00, and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

By:

  
Ester Aronova

Date:

5/3/01

Mailing Address:

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342 Madison Avenue, Suite 1921  
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Tel:(212) 697-2800  
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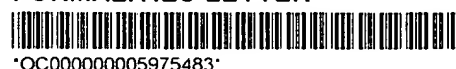
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,003	02/05/2001	David Baker	655-0012C

CONFIRMATION NO. 5644

## FORMALITIES LETTER



\*OC00000005975483\*

SOFER & HAROUN, L.L.P.  
Suite 1921  
342 Madison Avenue  
New York, NY 10173



Date Mailed: 04/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

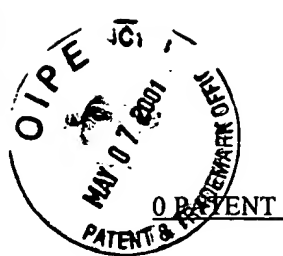
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05/09/2001 CCK:RU1 00000116 09777003

01 FC:101  
02 FC:103

710.00 CP  
130.00 EP



Receipt #6  
8-29-01

Docket No. 655-012c

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : David Baker et al.  
Serial No. : 09/777,003  
Filed : February 5, 2001 Group Art Unit: 2182  
For : AN INTEGRATED MULTIMEDIA SYSTEM

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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Technology Center 2100

Sir:

I hereby certify that the attached Request for Corrected Filing Receipt, Copy of Incorrect Filing Receipt, and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

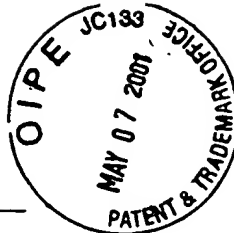
By: Ester Aronova  
Ester Aronova

Date: 5/3/01

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Patent



Docket No. 655-012C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baker et al.  
Serial No. : 09/777,003 Examiner: TBA  
Filed : February 5, 2001  
For : INTEGRATED MULTIMEDIA SYSTEM

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division  
Customer Correction Branch  
United States Patent and Trademark Office  
Washington, D.C. 20231

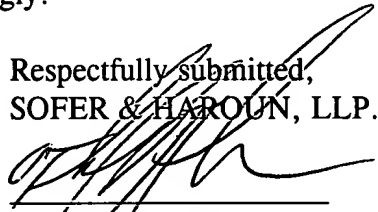
RECEIVED  
AUG 24 2001  
Technology Center 2100

Sir:

Enclosed, please find a copy of the Filing Receipt which does not include the correct last name of one of the co-inventors. The correct name is "**Benjamin CUTLER.**"

Please make the correction accordingly.

Respectfully submitted,  
SOFER & HAROUN, LLP.

  
\_\_\_\_\_  
Thomas C. Hughes  
Reg. No. 42,674

Date: May 3, 2001

Mailing Address:  
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New York, New York 10173  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/777,003	02/05/2001	2182	0.00	655-0012C	29	1	1

SOFER & HAROUN, L.L.P.  
Suite 1921  
342 Madison Avenue  
New York, NY 10173



CONFIRMATION NO. 5644

## FILING RECEIPT



\*OC000000005975482\*

Date Mailed: 04/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ~David Baker, Residence Not Provided;
- ~Christopher Basoglu, Residence Not Provided;
- ~Benjamin Butler, Residence Not Provided;
- ~Richard Deeley, Residence Not Provided;
- ~Gregorio Gervasio, Residence Not Provided;
- ~Atsuo Kawaguchi, Residence Not Provided;
- ~Keiji Kojima, Residence Not Provided;
- ~Woobin Lee, Residence Not Provided;
- ~Takeshi Miyazaki, Residence Not Provided;
- ~Yatin Mundkur, Residence Not Provided;
- ~Vinay Naik, Residence Not Provided;
- ~Kiyokazu Nishioka, Residence Not Provided;
- ~Toru Nojiri, Residence Not Provided;
- ~John O'Donnell, Residence Not Provided;
- ~Sarang Padalkar, Residence Not Provided;

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Technology Center 2100

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/172,286 10/14/1998

## Foreign Applications

If Required, Foreign Filing License Granted 04/16/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Integrated multimedia system

Preliminary Class

710

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Data entry by : LE, THANH-LAN

Team : OIPE

Date: 04/17/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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